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PTO/SB/21 (08-00)

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Application Number	09/773,476
Filing Date	Jan 31, 2001
First Named Inventor	Friedrich, Glenn
Group Art Unit	1645
Examiner Name	to be assigned
Attorney Docket Number	LEX-0126-USA

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Total Number of Pages in This Submission 28**ENCLOSURES (check all that apply)**

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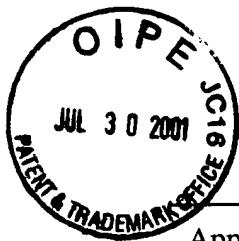
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Lance K. Ishimoto Reg. No. 41,866 Lexicon Genetics Incorporated
Signature	
Date	July 27, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>July 27, 2001</u>			
Typed or printed name	Michelle Klein		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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3-9-02
attachment

Applicant(s): Friedrich et al.

Serial No.: 09/773,476

Filed: 1/31/2001

Title: Novel Mutated Mammalian Cells and Animals

Attorney Docket No.: LEX-0126-USA

Group Art Unit:

1645

Examiner:

to be assigned

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Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ X under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2)(ii), and
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

Applicant submits that the above references taken alone or in combination neither anticipate nor

render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

~~It is requested that the information disclosed herein be made of record in this application.~~

Respectfully submitted,




July 27, 2001
Date

Lance K. Ishimoto

Reg. No. 41866

LEXICON GENETICS INCORPORATED
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Telephone No.: (281) 863-3333

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	09/773,476	
			Filing Date	January 31, 2001	
			First Named Inventor	Friedrich, et al	
			Group Art Unit	1645	
			Examiner Name	to be assigned	
Sheet	1	of	1	Attorney Docket Number	LEX-0126-USA



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS								
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	AA	Frohman, et al, 1994, "On Beyond Classic RACE (Rapid Amplification of cDNA Ends), PRC Methods and Applications, 4:S40-S58.		
	AB	Shilo et al, 1981, "DNA sequences homologous to vertebrate oncogenes are conserved in Drosophila melanogaster", Proc. Natl. Acad. Sci. USA 78(11):6789-6792.		

Examiner Signature		Date Considered	
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*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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